

# Certificate of Registration

## BUSINESS CONTINUITY MANAGEMENT SYSTEM - ISO 22301:2019

This is to certify that:

The Options Clearing Corporation  
125 S Franklin St Ste 1200  
Chicago  
Illinois  
60606-4601  
USA

Holds Certificate No:

**BCMS 800856**

and operates a Business Continuity Management System which complies with the requirements of ISO 22301:2019 for the following scope:

The Business Continuity Management System within OCC includes the provisions of Financial Risk Management, Business Operations, Information Technology, Security Services, Corporate Risk Management, Corporate Compliance, Corporate Finance, Human Resources, and Legal Services. The BCMS aims to ensure the continued delivery of critical business functions and services in the event of a disruption, thereby safeguarding the interests of key stakeholders, reputation, and our value-creating activities.

For and on behalf of BSI:

  
Bruno Samuel, Managing Director Assurance, Americas

Original Registration Date: 2024-09-02

Effective Date: 2024-09-02

Latest Revision Date: 2024-09-02

Expiry Date: 2027-09-01

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